

The internals of Lean

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25 January 2024

Questions discussed

- What is the logic of Lean?
- How does Lean check a proof?
- Can we trust proofs checked by Lean?

Computer algebra systems and proof assistants

FROM THE MAKERS OF WOLFRAM LANGUAGE AND MATHEMATICA



WolframAlpha

Simplify[(a + b)^2=a^2+b^2+2*a*b]

NATURAL LANGUAGE MATH INPUT EXTENDED KEYBOARD EXAMPLES

Input interpretation

simplify	$(a + b)^2 = a^2 + b^2 + 2 a b$
----------	---------------------------------

Expanded form

True

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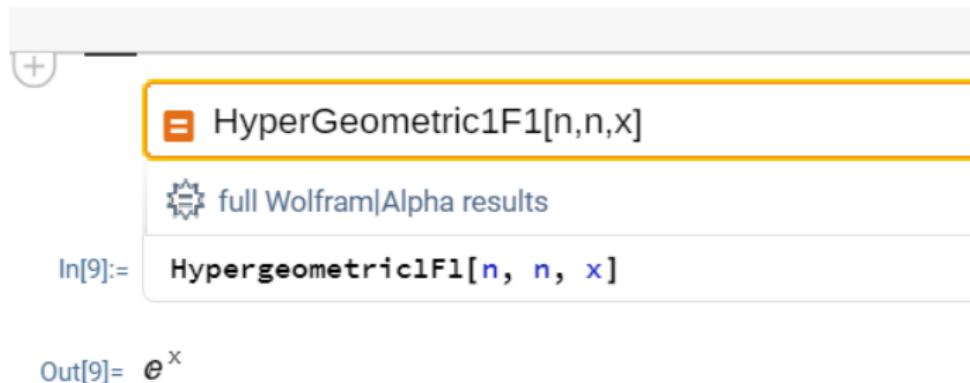
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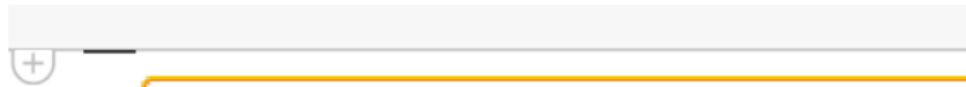
example (a b : ℂ) :
$$(a + b)^2 = a^2 + b^2 + 2 a b := \text{by ring}$$

Computer algebra systems and proof assistants



The screenshot shows a user interface for a computer algebra system. At the top, there is a toolbar with a plus sign icon. Below the toolbar, a search bar contains the text "HyperGeometric1F1[n,n,x]". Underneath the search bar, there is a "full Wolfram|Alpha results" button with a gear icon. Below this, an input cell is labeled "In[9]:= Hypergeometric1F1[n, n, x]" and an output cell is labeled "Out[9]= e^x".

Computer algebra systems and proof assistants



HyperGeometric1F1[n,n,x]

full Wolfram|Alpha results

In[9]:= Hypergeometric1F1[n, n, x]

Out[9]= e^x

HyperGeometric1F1[-1,-1,x]

full Wolfram|Alpha results

In[10]:= Hypergeometric1F1[-1, -1, x]

Out[10]= $1 + x$

Computer algebra systems and proof assistants

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Proof Assistant User writes a statement and proof, the program will check it.

Automated Theorem Prover User writes a statement, the program will find a proof or fail.

Logic of a proof assistant

A proof assistant implements a particular **logic** in which the proofs are checked.

[Set theory](#) Mizar, Metamath*

[Simple type theory](#) HOL Light, Isabelle*

[Dependent type theory](#) Lean, Coq

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You don't need to know the logic to start doing mathematics with a proof assistant

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- $a + b$ (for a, b in some ring R) means addition in R
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More complicated expressions:

$$e^x = \sum_{k=0}^{\infty} \frac{x^k}{k!}$$

Type theory

In type theory, every term has an associated **unique** type.

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$$\pi : \mathbb{R}$$

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In set theory this is harder, it's “too flexible”.

Warning

We have $3 : \mathbb{N}$ and $3 : \mathbb{R}$.

In type theory, these two 3's are **not** the same object.

(Of course, canonical inclusion $\mathbb{N} \hookrightarrow \mathbb{R}$ sends the former to the latter.)

In Lean, you can write $(3 : \mathbb{N})$ or $(3 : \mathbb{R})$ to force an expression to have a particular type.

Dependent type theory

Operations on types $\mathbb{Z} \times \mathbb{Q}$

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Definitional equality There is a notion of computation: $2 + 2 \equiv 4$,

$(x, y).1 \equiv x$.

`rfl` can prove $a = b$ precisely when a and b are definitionally equal.

Dependent type theory

There are some details in Lean's type theory that are a bit complicated:

Useful to learn let-expressions, quotients, axiom of choice

A bit obscure universe levels, proof irrelevance, propositional extensionality

Very obscure impredicative `Prop`, subsingleton elimination,
 $\alpha\beta\delta\eta\zeta\iota$ -conversion

Soundness

Is Lean's logic sound?

Short answer: Yes

Soundness

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Yes, modulo issues with Gödels incompleteness theorem

Soundness

Is Lean's logic sound?

It is weaker than $\text{ZFC} + \omega$ inaccessible cardinals

```
@[simp]
theorem integral_sin : ∫ x in a..b, sin x = cos a - cos b := by
  rw [integral_deriv_eq_sub' fun x => -cos x]
  · ring
  · norm_num
  · simp only [differentiableAt_neg_iff, differentiableAt_cos, implies_true]
  · exact continuousOn_sin
```

Processing a proof

What happens after writing a proof?

- Parsing (interpreting notation)
- Elaboration (figure out implicit information)
- Tactic execution
- Kernel checking

Elaboration

```
theorem add_comm {G : Type•} [AddCommMagma G]
  (a : G) (b : G) :
  a + b = b + a

example (a b c : ℝ) : a * b + c = c + a * b := by
  exact add_comm (a * b) c
```

- Lean figures out that $(G := \mathbb{R})$ from context (by looking at the type of a , b and c)
- Lean has a database of types where addition commutes, and looks up to see that it is true for \mathbb{R} (*type-class inference*)

Tactic execution

Tactics can be any program that construct part of the proof.

Simple tactics that do 1 step in a proof: `intro`, `apply`, `have`, `rw`;

Domain-specific automation: `ring`, `linarith`

General automation: `simp`, `aesop`

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Tactics produce a `proof term`.

(usually giant, unreadable for humans)

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- The kernel takes a proof term;
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The kernel is a (relatively) small part of Lean, and it is the **trusted codebase**.

To trust that Lean only accepts true theorems, you only have to trust the kernel. **You do not have to trust tactics.**

Trust

To verify a formalization of non-malicious user:

- check the theorem statement
- check the definitions used in the statement
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`(#print axioms my_theorem)`

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If you are **really** paranoid:

- trust consistency of ZFC + ω inaccessibles
- trust the compiler that compiled the type checker down to machine code
- trust that your hardware follows specifications
- trust that no cosmic rays interfered with your hard drive

Extensibility

Demo You can declare your own notation

```
notation3  $\int$  "(...)" in "a".."b",  
"r:60:(scoped f  $\Rightarrow$  intervalIntegral f a b volume)  $\Rightarrow$  r
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elab "my_assumption" : tactic  $\Rightarrow$  do
  let target  $\leftarrow$  getMainTarget
  for ldecl in  $\leftarrow$  getLCtx do
    if ldecl.isImplementationDetail then continue
    if  $\leftarrow$  isDefEq ldecl.type target then
      closeMainGoal ldecl.toExpr
      return
  throwTacticEx `my_assumption ( $\leftarrow$  getMainGoal)
  m!"no matching hypothesis of type {indentExpr target}"
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In fact, almost every part of Lean (parsing, elaboration, tactics, compilation) are written **in Lean**

Conclusions

- Type theory is a useful logic for formalization;
- You can trust Lean formalizations;
- Lean is very extensible.